

2835

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on June 19, 2003.*

*[Signature]*  
Signature

Applicant : Mark J. Owens, et al.  
Application No. : 10/028,745  
Filed : December 21, 2001  
Title : WDM ADD/DROP MULTIPLEXER MODULE  
  
Grp./Div. : 2835  
Examiner : Boris Leo Chervinsky  
  
Docket No. : 47630/DBP/C664

RECEIVED  
JUN 24 2003  
1C 2800 MAIL ROOM

**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
June 19, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

**Application No. 10/028,745**

The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By *D. Bruce Prout*  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/dg  
Enclosures:

Copy of IDS  
PTO/SB/08A/B, w/references

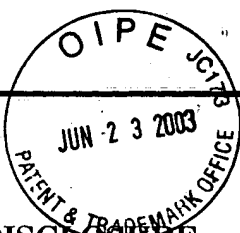
DLG PAS509783.1-\*6/17/03 8:45 AM

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

(use as many sheets as necessary)



Attorney Docket Number	47630/DBP/C664
Application Number	10/028,745
Filing Date	December 21, 2001
Applicant(s)	Mark J. Owens, et al.
Group Art Unit	2835
Examiner Name	Boris Leo Chervinsky

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> - Number <sup>3</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		EP 0 991 217 A2	04-05-2000	Dudley	
		EP 1 061 683 A1	12-20-2000	Adams et al.	
		EP 1 061 684 A1	12-20-2000	Adams et al.	
		EP 1 063 794 A2	12-27-2000	Iwafuji	
		EP 1 063 803 A1	12-27-2000	Adams et al.	
		EP 1 063 802 B1	04-10-2002	Adams et al.	
		JP 2001244664 A	09-07-2001	Akihiro et al.	
		WO 00/41349	07-13-2000	Salomaa	
		WO 01/42801 A1	06-14-2001	Yuen et al.	

RECEIVED  
JUN 24 2003  
MAIL ROOM  
Patent  
Abstract of  
Japan

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER  
SIGNATURE

DATE  
CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DBP/dg

DLG PAS509773.1-6/17/03 12:09 PM

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B



# INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	47630/DBP/C664
Application Number	10/028,745
Filing Date	December 21, 2001
Applicant(s)	Mark J. Owens, et al.
Group Art Unit	2835
Examiner Name	Boris Leo Chervinsky

### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,122,508	10-24-1978	Rumbaugh
		4,237,521	12-02-1980	Denker
		4,674,004	06-16-1987	Smith et al.
		4,881,792	11-21-1989	Alameel et al.
		4,976,527	12-11-1990	Horikawa et al.
		5,604,665	02-18-1997	Chrysler et al.
		5,717,712	02-10-1998	Swaminathan et al.
		5,842,514	12-01-1998	Zapach et al.
		5,844,777	12-01-1998	Gates
		6,104,516	08-15-2000	Majima
		6,104,611	08-15-2000	Glover et al.
		US 6,212,075 B1	04-03-2001	Habing et al.
		US 6,212,210 B1	04-03-2001	Serizawa
		US 6,249,365 B1	06-19-2001	Mizrahi et al.
		US 6,302,704 B1	10-16-2001	Belanger, Jr.
		US 6,310,772 B1	10-30-2001	Hutchison et al.
		US 6,327,062 B1	12-04-2001	King et al.
		US 6,364,541 B1	04-02-2002	Nesnidal et al.
		US 6,411,511 B1	06-25-2002	Chen
		US 6,477,294 B1	11-05-2002	Jansen Van Doorn et al.

EXAMINER  
SIGNATURE

DATE  
CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE